

PENALTY GUIDELINES

The following recommendations have been approved by the Directors of the IHRB in relation to penalties to be imposed for certain breaches and should be adhered to in the interest of consistency.

In the event that the Raceday Stewards deviate from the recommended penalties, a report explaining the reason for the deviation should be sent by the Chairman to the Chief Executive at the end of the day's racing, as part of his Chairman's Report.

Where there is a reference in these Guidelines to a minimum penalty and where there is a relevant record of previous offending, the Raceday Stewards shall consider whether a higher penalty is justified in those circumstances. Also, for breaches of the interference rule, should the riding offence appear particularly egregious or if there are serious or potentially serious consequences arising from the offence, Raceday Stewards are advised to impose sanctions greater than the Guidelines.

Description	Penalty
Vhip Raceday Stewards should refer to the rider's record for the previous 6-months (rolling) when considering penalties.	The Raceday Stewards should impose a penalty in line with the penalty guidelines below – the penalty can be greater than these guidelines for offences other than frequency if they deem it appropriate in the circumstances.
-	/hip Raceday Stewards should refer to the rider's record for the previous 6-months

Whip Guidelines	Frequency Breaches	Other Whip Breaches
	Breach of Reg 10 3 (g) - Excessive Frequency (guideline below for 9 Strikes)	Breach of Reg 10 3 (a)(b)(c)(d)(e)(f)(h) or (i)
1st Offence	2*	Not less than 2 days
2nd Offence	4*	Not less than $4\mathrm{days}$
3rd Offence	8*	Not less than 8 days
4th Offence	16*	Not less than 16 days
5th Offence	20*	Not less than 20 days
6th Offence	24*	Not less than 24 days
7th Offence	Refer	Refer
	*Add 1 day suspension for every strike over 9	

Rule/Regulation	Description	Penalty	
Rule 194 (iv)	Withdrawal of Horses After the deadline (1½ hours before 1 st race) and if permission not given by the Raceday Stewards:		
	Trainer:	 Fine <u>not less than €200</u> (first occasion) Fine <u>not less €320</u> for subsequent occasions in a Calendar year. Fine <u>not less than €320</u> when reserve denied a run If "top weight" in "Alternative" Handicap – <u>sanction under Rule 14</u> Sanction under Rule 194(iv) 	
Rule 196	Failing to Weigh Out Rider not presenting at Scales:	Fine not less than €200 unless satisfactory excuse is given to the Raceday Stewards.	
Rule 198 & Regulation R10	Safety Equipment (Body Protectors, Helmets & Stirrup Irons)	Approved equipment must be used at all times.	
	Rider:	Suspend 7 race days Increase penalties for subsequent offences	
	Mouth Guards are mandatory in races under NH rules	If not available to wear, Rider not allowed ride, 1 st Offence: 1 day suspension + €100 fine	
Rule 198 (vii) A	Where a Rider turns up at Scales <u>2LBS</u> <u>OVERWEIGHT</u> which hasn't been declared:	1 st Offence - Fine €150 2 nd Offence - Suspend 2 days 3 rd Offence - Suspend not less than 3 days Increase penalties for subsequent offence	
Rule 198 (vii) A	Where a Rider turns up at Scales <u>3LBS OR</u> <u>MORE OVERWEIGHT</u> and he has only declared 2LBS OVERWEIGHT, or has declared NO OVERWEIGHT:	1 st Offence - Fine €150 2 nd Offence - Suspend 3 days 3 rd Offence - Suspend not less than 4 days	
		Increase penalties for subsequent offences	
	All the above penalties should be calculated with reference to a rolling 12 month period	In all the above cases the rider may not be let ride unless exceptional circumstances prevail	

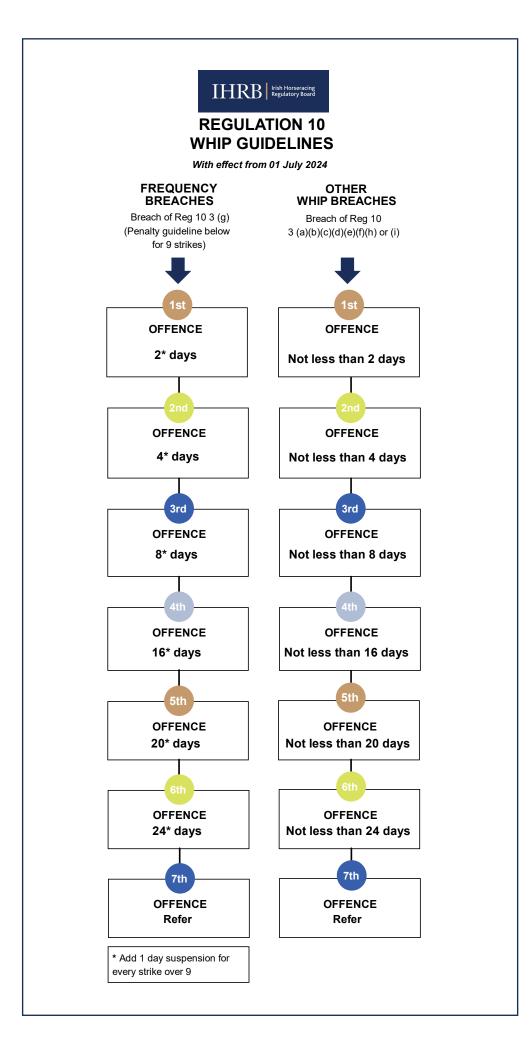
Rule/Regulation	Description Penalty		
Rule 212 A (i)	Horse not let run on Merits		
	Trainer:	Minimum fine – €6,000	
	Horse:	Suspension – 90 days	
	Rider:	Minimum 40 race days + (forfeit riding fee)	
		Increase penalties for subsequent offences	
Rule 212 A (ii)	Failure to make a genuine attempt to ob real and substantial efforts	tain from the horse, timely	
	Trainer:	Minimum fine €3,000	
	Horse:	Minimum suspension 60 days	
	Rider:	Minimum 14 race days + (forfeit riding fee)	
		Increase penalties for subsequent offences	
Rule 212 A (iii)	Running a horse in a condition which would preclude its chance of winning or achieving its best possible placing, or giving the horse concerned a school		
	Trainer:	Minimum fine – €3,000	
	Horse:	Minimum suspension – 60 days	
	Rider:	Minimum 14 race days + (forfeit riding fee) Increase penalties for subsequent offences	
Rule 212A(iv)(a)	Stopped riding and lost better place		
	Lost 1st place:	Minimum 14 race days + (forfeit riding fee)	
	Lost 2nd or 3rd place:	Minimum 7 race days + (forfeit riding fee)	
	4th + extended placings with prize money:	Minimum 4 race days + (forfeit riding fee)	
	A Rider should not ease his mount without good reason.	Discretion of the Raceday Stewards	
Rule 212A(iv)(b)	Misjudged number of circuits	Minimum 14 days	
Rule 212 C (d)	Unacceptable Performance		
	Horse:	90 days suspension	

Rule/Regulation	Description	Penalty
Rule 214 (i) (a)	Dangerous Riding Rider:	The Raceday Stewards should impose a penalty in line with the penalty guidelines below.
	Horse: Please note that the "winner all right" should not be announced if there is a risk that the rider of the winner or one of the placed horses is likely to be charged with dangerous riding.	Disqualification
Rule 214 (i) (b)	Careless Riding Rider:	The Raceday Stewards should impose a penalty in line with the penalty guidelines below.
Rule 214 (i) (b)	Improper Riding Rider:	The Raceday Stewards should impose a penalty in line with the penalty guidelines below.

Interference / Rule 214 Guidelines:

Rule 214 (6 month rolling period)	1 st offence Not less than	2 nd offence Not less than	3 rd offence Not less than	4 th offence Not less than	5 th offence Not less than
Careless Riding	2 days	4 days	8 days	12 days	20 days
Improper Riding	7 days	9 days	11 days	20 days	>20+
Dangerous riding (plus disqualify and forfeit fee)	21 days	28 days	28+	28+	28+

Rule/Regulation	Description	Penalty
Rule 227 & 230	Failing to Weigh In Winner:	Minimum 10 race days + (forfeit riding fee) Also consider heavy fine Or the matter may be referred to Referrals Committee
	2 nd and 3 rd place:	Minimum 5 race days + (forfeit riding fee)
	4 th and extended placings with prizemoney:	Minimum 2 race days + (forfeit riding fee)
	Other placings – no prizemoney: Or pulled up	1 day
		Increase penalties for subsequent offences
Rule 227 & 230	N.B. HORSE DISQUALIFIED IN ALL CASES	
	If the Raceday Stewards consider that the failure of a winning rider to "weigh in" was deliberate the following minimum penalties should be issued:	Suspend from race riding for 50 race days, <u>fine €10,000</u> + forfeit riding fee or refer the matter to the Referrals Committee.
Rule 231	Where a Rider weighs in after a race overweight: If overweight excessive increase penalties. This penalty should be calculated with reference to a rolling 12-month period.	1 st Offence - 1 day (unless the overweight is 1.5lbs or less above the correct weight in which case a <u>caution</u> should be issued for the first offence). 2 nd Offence - 2 days 3 rd Offence - 3 days
Rule 263	Unsuccessful Objection	Forfeit Deposit
Rule 272	Conduct	Caution and/or fine and/or suspension depending on severity of breach and relevant previous record.
	Fines and/or suspensions:	Minimum fine - €250



6

