



## PENALTY GUIDELINES

The following recommendations have been approved by the Directors of the IHRB in relation to penalties to be imposed for certain breaches and should be adhered to in the interest of consistency.

In the event that the Raceday Stewards deviate from the recommended penalties, a report explaining the reason for the deviation should be sent by the Chairman to the Chief Executive at the end of the day's racing, as part of his Chairman's Report.

**Where there is a reference in these Guidelines to a minimum penalty and where there is a relevant record of previous offending, the Raceday Stewards shall consider whether a higher penalty is justified in those circumstances. Also, for breaches of the interference rule, should the riding offence appear particularly egregious or if there are serious or potentially serious consequences arising from the offence, Raceday Stewards are advised to impose sanctions greater than the Guidelines.**

Rule/Regulation	Description	Penalty
<b>Regulation R10</b>	<b>Whip</b> <ul style="list-style-type: none"> <li>Raceday Stewards should refer to the rider's record for the previous 6-months (rolling) when considering penalties.</li> </ul>	The Raceday Stewards should impose a penalty in line with the penalty guidelines below – the penalty can be greater than these guidelines for offences other than frequency if they deem it appropriate in the circumstances.

Whip Guidelines	Frequency Breaches	Other Whip Breaches
	Breach of Reg 10 3 (g) - Excessive Frequency (guideline below for 9 Strikes)	Breach of Reg 10 3 (a)(b)(c)(d)(e)(f)(h) or (i)
<b>1st Offence</b>	2*	Not less than 2 days
<b>2nd Offence</b>	4*	Not less than 4 days
<b>3rd Offence</b>	8*	Not less than 8 days
<b>4th Offence</b>	16*	Not less than 16 days
<b>5th Offence</b>	20*	Not less than 20 days
<b>6th Offence</b>	24*	Not less than 24 days
<b>7th Offence</b>	Refer	Refer
	*Add 1 day suspension for every strike over 9	

Rule/Regulation	Description	Penalty
<b>Rule 194 (iv)</b>	<b>Withdrawal of Horses</b> After the deadline (1½ hours before 1 <sup>st</sup> race) and if permission not given by the Raceday Stewards:	
	Trainer:	<ul style="list-style-type: none"> <li>• Fine not less than €200 (first occasion)</li> <li>• Fine not less €320 for subsequent occasions in a Calendar year.</li> <li>• Fine not less than €320 when reserve denied a run</li> <li>• If “top weight” in “Alternative” Handicap – <u>sanction under Rule 14</u></li> <li>• Sanction under Rule 194(iv)</li> </ul>
<b>Rule 196</b>	<b>Failing to Weigh Out</b> Rider not presenting at Scales:	Fine not less than €200 unless satisfactory excuse is given to the Raceday Stewards.
<b>Rule 198 &amp; Regulation R10</b>	<b>Safety Equipment</b> (Body Protectors, Helmets & Stirrup Irons)  Rider:  Mouth Guards are mandatory in races under NH rules	<b>Approved equipment must be used at all times.</b>  Suspend 7 race days  Increase penalties for subsequent offences  If not available to wear, Rider not allowed ride, 1 <sup>st</sup> Offence: 1 day suspension + €100 fine
<b>Rule 198 (vii) A</b>	<b>Where a Rider turns up at Scales 2LBS OVERWEIGHT which hasn't been declared:</b>	1 <sup>st</sup> Offence - Fine €150 2 <sup>nd</sup> Offence - Suspend 2 days 3 <sup>rd</sup> Offence - Suspend not less than 3 days Increase penalties for subsequent offence
<b>Rule 198 (vii) A</b>	<b>Where a Rider turns up at Scales 3LBS OR MORE OVERWEIGHT and he has only declared 2LBS OVERWEIGHT, or has declared NO OVERWEIGHT:</b>  <b>All the above penalties should be calculated with reference to a rolling 12 month period</b>	1 <sup>st</sup> Offence - Fine €150 2 <sup>nd</sup> Offence - Suspend 3 days 3 <sup>rd</sup> Offence - Suspend not less than 4 days  Increase penalties for subsequent offences  In all the above cases the rider may not be let ride unless exceptional circumstances prevail
<b>Rule 208</b>	<b>Breach of Starting Rule</b>	1 <sup>st</sup> Offence – Not less than 1 day 2 <sup>nd</sup> Offence – Not less than 2 days Increase penalties for subsequent offences

Rule/Regulation	Description	Penalty
<b>Rule 211 (a)</b>	<b>Marker Poles</b>	1 <sup>st</sup> Offence – Not less than 1 day 2 <sup>nd</sup> Offence – Not less than 2 days Increase penalties for subsequent offences
<b>Rule 212 A (i)</b>	<b>Horse not let run on Merits</b>  Trainer:  Horse:  Rider:	Minimum fine – €6,000  Suspension – 90 days  Minimum 40 race days + (forfeit riding fee)  Increase penalties for subsequent offences
<b>Rule 212 A (ii)</b>	<b>Failure to make a genuine attempt to obtain from the horse, timely real and substantial efforts</b>	
	Trainer:  Horse:  Rider:	Minimum fine - €3,000  Minimum suspension - 60 days  Minimum 14 race days + (forfeit riding fee)  Increase penalties for subsequent offences
<b>Rule 212 A (iii)</b>	<b>Running a horse in a condition which would preclude its chance of winning or achieving its best possible placing, or giving the horse concerned a school</b>	
	Trainer:  Horse:  Rider:	Minimum fine – €3,000  Minimum suspension – 60 days  Minimum 14 race days + (forfeit riding fee)  Increase penalties for subsequent offences
<b>Rule 212A(iv)(a)</b>	<b>Stopped riding and lost better place</b>	
	Lost 1st place:  Lost 2nd or 3rd place:  4th + extended placings with prize money:  A Rider should not ease his mount without good reason.	Minimum 14 race days + (forfeit riding fee)  Minimum 7 race days + (forfeit riding fee)  Minimum 2 race days + (forfeit riding fee) Discretion of the Raceday Stewards

Rule/Regulation	Description	Penalty
<b>Rule 212A(iv)(b)</b>	<b>Misjudged number of circuits</b>	<b>Minimum 14 days</b>
<b>Rule 212 C (d)</b>	<b>Unacceptable Performance</b>  Horse:	90 days suspension
<b>Rule 214 (i) (a)</b>	<b>Dangerous Riding</b>  Rider:  Horse:  <b>Please note that the “winner all right” should not be announced if there is a risk that the rider of the winner or one of the placed horses is likely to be charged with dangerous riding.</b>	The Raceday Stewards should impose a penalty in line with the penalty guidelines below.  Disqualification
<b>Rule 214 (i) (b)</b>	<b>Careless Riding</b>  Rider:	The Raceday Stewards should impose a penalty in line with the penalty guidelines below.
<b>Rule 214 (i) (b)</b>	<b>Improper Riding</b>  Rider:	The Raceday Stewards should impose a penalty in line with the penalty guidelines below.

#### Interference / Rule 214 Guidelines:

Rule 214 (6 month rolling period)	1 <sup>st</sup> offence Not less than	2 <sup>nd</sup> offence Not less than	3 <sup>rd</sup> offence Not less than	4 <sup>th</sup> offence Not less than	5 <sup>th</sup> offence Not less than
<b>Careless Riding</b>	2 days	4 days	8 days	12 days	20 days
<b>Improper Riding</b>	7 days	9 days	11 days	20 days	>20+
<b>Dangerous riding</b> (plus disqualify and forfeit fee)	21 days	28 days	28+	28+	28+

Rule/Regulation	Description	Penalty
<b>Rule 227 &amp; 230</b>	<p><b>Failing to Weigh In</b></p> <p>Winner:</p> <p>2<sup>nd</sup> and 3<sup>rd</sup> place:</p> <p>4<sup>th</sup> and extended placings with prizemoney:</p> <p>Other placings – no prizemoney: Or pulled up</p>	<p>Minimum 10 race days + (forfeit riding fee) Also consider heavy fine Or, matter may be referred to Referrals Committee</p> <p>Minimum 7 race days + (forfeit riding fee)</p> <p>Minimum 2 race days + (forfeit riding fee)</p> <p>1 day</p> <p>Increase penalties for subsequent offences</p>
<b>Rule 227 &amp; 230</b>	<p><b>N.B. HORSE DISQUALIFIED IN ALL CASES</b></p> <p>If the Raceday Stewards consider that the failure of a winning rider to “weigh in” was deliberate the following minimum penalties should be issued:</p>	<p>Suspend from race riding for 50 race days, <u>fine €10,000</u> + forfeit riding fee or refer the matter to the Referrals Committee.</p>
<b>Rule 231</b>	<p><b>Where a Rider weighs in after a race overweight:</b></p> <p>If overweight excessive increase penalties.</p> <p>This penalty should be calculated with reference to a rolling 12-month period.</p>	<p>1<sup>st</sup> Offence - 1 day (unless the overweight is 1.5lbs or less above the correct weight in which case a <u>caution</u> should be issued for the first offence).</p> <p>2<sup>nd</sup> Offence - 2 days</p> <p>3<sup>rd</sup> Offence - 3 days</p>
<b>Rule 263</b>	<b>Unsuccessful Objection</b>	Forfeit Deposit
<b>Rule 272</b>	<p><b>Conduct</b></p> <p><b>Fines and/or suspensions:</b></p>	<p>Caution and/or fine and/or suspension depending on severity of breach and relevant previous record.</p> <p>Minimum fine - €250</p>

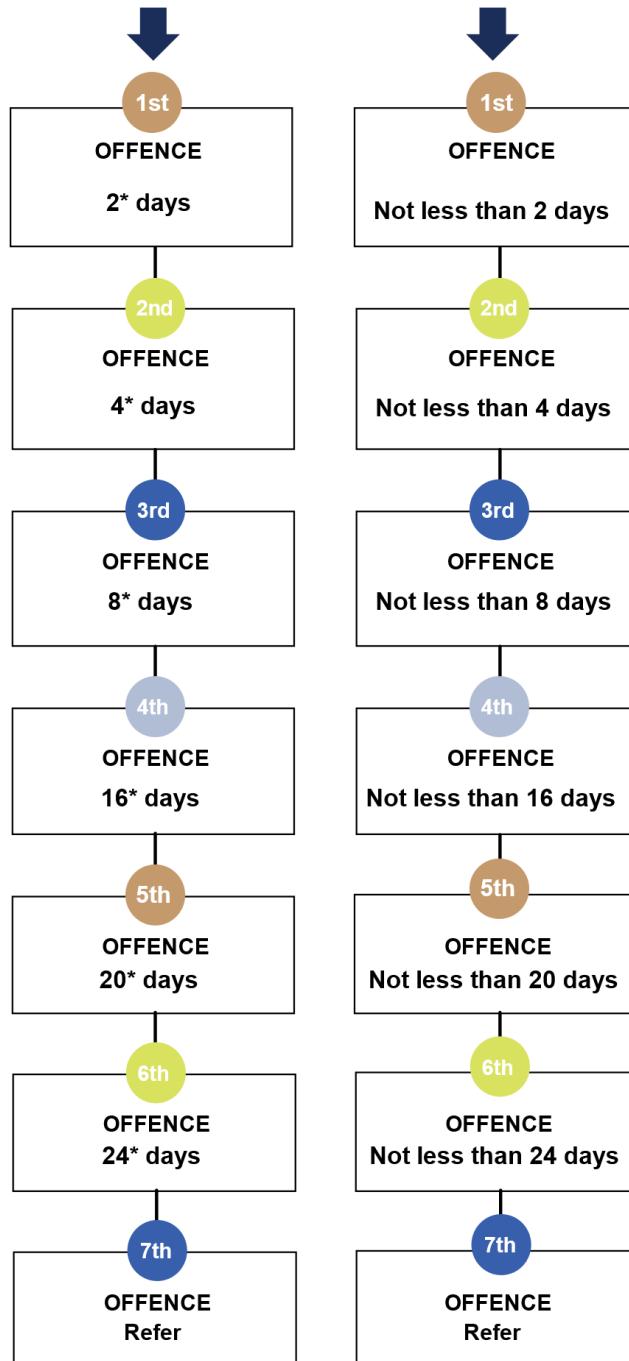
## REGULATION 10

### WHIP GUIDELINES

With effect from 01 July 2024

#### FREQUENCY BREACHES

Breach of Reg 10 3 (g)  
(Penalty guideline below  
for 9 strikes)



\* Add 1 day suspension for  
every strike over 9

#### OTHER WHIP BREACHES

Breach of Reg 10  
3 (a)(b)(c)(d)(e)(f)(h) or (i)

## RULE 214 INTERFERENCE GUIDELINES

### CARELESS RIDING

